IDEAL characterizations of spacetimes in cosmology and beyond (arXiv:1704.05542,1807.09699)

Igor Khavkine

Institute of Mathematics Czech Academy of Sciences, Prague

CEICO Cosmology Meeting 2023 FZU, Prague 11 Oct 2023

Old observation (1800s, Riemann, Christoffel, ...):

$$\Delta^{(\Lambda)}_{ijkh}[g] := R_{ijkh}[g] - lpha(g_{ik}g_{jh} - g_{jk}g_{ih}) = 0 \implies g \sim_{ ext{loc.isom.}} (A)dS_{\Lambda}$$

with cosmological constant $\alpha \sim \Lambda$ (dim.dep. normalization).

Applications:

can recognize (A)dS_∧ spacetime in any coordinates
 the linearization Δ^(∧)[*h*] captures all local linear observables for metric perturbations *h_{ii}* on (A)dS, because

$$\dot{\Delta}^{(\Lambda)}[\mathcal{L}_{v}g]=\mathcal{L}_{v}\Delta^{(\Lambda)}[g] \hspace{1em} (=0 \hspace{1em} {
m on} \hspace{1em} ({
m A}){
m dS}_{\Lambda})$$

writing

$$\Delta^{(\Lambda)}[g] = G^{(\Lambda)}[g] \oplus W^{(\Lambda)}[g],$$

with $G^{(\Lambda)}[g] = 0$ the Einstein equations, implies that $W^{(\Lambda)}[g] = 0$ on a Cauchy surface Σ generates the **initial data conditions guaranteeing** that the initial data for g on Σ will evolve precisely to (A)dS_{Λ}, for arbitrary Σ

Old observation (1800s, Riemann, Christoffel, ...):

$$\Delta^{(\Lambda)}_{ijkh}[g] := \mathcal{R}_{ijkh}[g] - lpha(g_{ik}g_{jh} - g_{jk}g_{ih}) = 0 \implies g \sim_{\mathsf{loc.isom.}} (\mathsf{A})\mathsf{dS}_{\Lambda}$$

with cosmological constant $\alpha \sim \Lambda$ (dim.dep. normalization).

Applications:

- ► can recognize (A)dS_Λ spacetime in any coordinates
- the linearization Â^(A)[h] captures all local linear observables for metric perturbations h_{ij} on (A)dS, because

$$\dot{\Delta}^{(\wedge)}[\mathcal{L}_{v}g]=\mathcal{L}_{v}\Delta^{(\wedge)}[g] \hspace{1em} (=0 \hspace{1em} {
m on} \hspace{1em} ({
m A}){
m dS}_{\wedge})$$

writing

$$\Delta^{(\Lambda)}[g] = G^{(\Lambda)}[g] \oplus W^{(\Lambda)}[g],$$

with $G^{(\Lambda)}[g] = 0$ the Einstein equations, implies that $W^{(\Lambda)}[g] = 0$ on a Cauchy surface Σ generates the **initial data conditions guaranteeing** that the initial data for g on Σ will evolve precisely to (A)dS_{Λ}, for arbitrary Σ

Old observation (1800s, Riemann, Christoffel, ...):

$$\Delta^{(\Lambda)}_{ijkh}[g] := R_{ijkh}[g] - lpha(g_{ik}g_{jh} - g_{jk}g_{ih}) = 0 \implies g \sim_{ ext{loc.isom.}} (A)dS_{\Lambda}$$

with cosmological constant $\alpha \sim \Lambda$ (dim.dep. normalization).

Applications:

- ► can recognize (A)dS_A spacetime in any coordinates
- the linearization Δ^(Λ)[*h*] captures all local linear observables for metric perturbations h_{ii} on (A)dS, because

$$\dot{\Delta}^{(\Lambda)}[\mathcal{L}_{v}g]=\mathcal{L}_{v}\Delta^{(\Lambda)}[g] \hspace{1em} (=0 \hspace{1em} ext{on} \hspace{1em} (\mathsf{A})\mathsf{dS}_{\Lambda})$$

writing

$$\Delta^{(\Lambda)}[g] = G^{(\Lambda)}[g] \oplus W^{(\Lambda)}[g],$$

with $G^{(\Lambda)}[g] = 0$ the Einstein equations, implies that $W^{(\Lambda)}[g] = 0$ on a Cauchy surface Σ generates the **initial data conditions guaranteeing** that the initial data for g on Σ will evolve precisely to (A)dS_{Λ}, for arbitrary Σ

Old observation (1800s, Riemann, Christoffel, ...):

$$\Delta^{(\Lambda)}_{ijkh}[g] := R_{ijkh}[g] - lpha(g_{ik}g_{jh} - g_{jk}g_{ih}) = 0 \implies g \sim_{ ext{loc.isom.}} (A)dS_{\Lambda}$$

with cosmological constant $\alpha \sim \Lambda$ (dim.dep. normalization).

Applications:

- ► can recognize (A)dS_Λ spacetime in any coordinates
- the linearization Δ^(Λ)[*h*] captures all local linear observables for metric perturbations h_{ii} on (A)dS, because

$$\dot{\Delta}^{(\Lambda)}[\mathcal{L}_{v}g]=\mathcal{L}_{v}\Delta^{(\Lambda)}[g] \hspace{1em} (=0 \hspace{1em} {
m on} \hspace{1em} ({
m A}){
m dS}_{\Lambda})$$

writing

$$\Delta^{(\Lambda)}[g] = G^{(\Lambda)}[g] \oplus W^{(\Lambda)}[g],$$

with $G^{(\Lambda)}[g] = 0$ the Einstein equations, implies that $W^{(\Lambda)}[g] = 0$ on a Cauchy surface Σ generates the **initial data conditions guaranteeing** that the initial data for g on Σ will evolve precisely to (A)dS_A, for arbitrary Σ

Q: Given your favorite metric g₀, does there exist a list of tensors covariantly constructed from a metric g, T₁[g], ... T_N[g], such that

$$\{T_A[g]=0\}_{A=1}^N \implies g \sim_{\mathsf{loc.isom.}} g_0?$$

- When it exists, the list { *T_A*[*g*] = 0 }^{*N*}_{*A*=1} is called an **IDEAL** characterization of the spacetime metric *g*₀ (Intrinsic, Deductive, Explicit, ALgorithmic terminology of Ferrando & Sáez from Valencia).
- Applications: $\{T_A[g]\}_{A=1}^N$ is to g_0 like $\Delta^{(\Lambda)}[g]$ is to (A)dS_A
 - recognize g₀
 - recognize initial data for g₀
 - local linear observables for linearized gravity on g₀
- ▶ In the presence of matter, we can generalize to $\{T_A[g, \Phi] = 0\}_{A=1}^N$ to characterize metric-matter configurations (g_0, Φ_0) .

Q: Given your favorite metric g₀, does there exist a list of tensors covariantly constructed from a metric g, T₁[g], ... T_N[g], such that

$$\{ T_{\mathcal{A}}[g] = 0 \}_{\mathcal{A}=1}^{N} \implies g \sim_{ ext{loc.isom.}} g_0 ?$$

- When it exists, the list { *T_A*[*g*] = 0 }^{*N*}_{*A*=1} is called an **IDEAL** characterization of the spacetime metric *g*₀ (Intrinsic, Deductive, Explicit, ALgorithmic — terminology of Ferrando & Sáez from Valencia).
- Applications: $\{T_A[g]\}_{A=1}^N$ is to g_0 like $\Delta^{(\Lambda)}[g]$ is to (A)dS_A
 - recognize g₀
 - recognize initial data for g₀
 - local linear observables for linearized gravity on g₀
- ▶ In the presence of matter, we can generalize to $\{T_A[g, \Phi] = 0\}_{A=1}^N$ to characterize metric-matter configurations (g_0, Φ_0) .

Q: Given your favorite metric g₀, does there exist a list of tensors covariantly constructed from a metric g, T₁[g], ... T_N[g], such that

$$\{ \mathit{T}_{\mathcal{A}}[g] = 0 \}_{\mathcal{A}=1}^{N} \quad \Longrightarrow \quad g \sim_{\mathsf{loc.isom.}} g_0 \, ?$$

 When it exists, the list { *T_A*[*g*] = 0 }^{*N*}_{*A*=1} is called an **IDEAL** characterization of the spacetime metric *g*₀ (Intrinsic, Deductive, Explicit, ALgorithmic — terminology of Ferrando & Sáez from Valencia).

• Applications: $\{T_A[g]\}_{A=1}^N$ is to g_0 like $\Delta^{(\Lambda)}[g]$ is to (A)dS_A

- recognize g₀
- recognize initial data for g₀
- local linear observables for linearized gravity on g₀
- ▶ In the presence of matter, we can generalize to $\{T_A[g, \Phi] = 0\}_{A=1}^N$ to characterize metric-matter configurations (g_0, Φ_0) .

Q: Given your favorite metric g₀, does there exist a list of tensors covariantly constructed from a metric g, T₁[g], ... T_N[g], such that

$$\{ T_{\mathcal{A}}[g] = 0 \}_{\mathcal{A}=1}^{N} \implies g \sim_{ ext{loc.isom.}} g_0 ?$$

- When it exists, the list { *T_A*[*g*] = 0 }^{*N*}_{*A*=1} is called an **IDEAL** characterization of the spacetime metric *g*₀ (Intrinsic, Deductive, Explicit, ALgorithmic terminology of Ferrando & Sáez from Valencia).
- Applications: $\{T_A[g]\}_{A=1}^N$ is to g_0 like $\Delta^{(\Lambda)}[g]$ is to (A)dS_A
 - recognize g₀
 - recognize initial data for g₀
 - local linear observables for linearized gravity on g₀
- In the presence of matter, we can generalize to {*T_A*[*g*, Φ] = 0}^N_{A=1} to characterize metric-matter configurations (*g*₀, Φ₀).

Examples

Known IDEAL characterizations is a small, slowly growing list.

- Ferrando & Sáez *et al.*:
 - Schwarzschild in 4-dim (1998)
 - Reissner-Nordström in 4-dim (2002)
 - **Kerr** in 4-dim (2009)
 - a few more (2010, 2017, ...)
- (Inflationary) FLRW in n-dim (2018, Canepa, Dappiaggi & IK)
- Schwarzschild in *n*-dim (2019, IK)
- pp-waves in 4-dim (2023(?), IK, McNutt & Wylleman)

Rough General Strategy

- Fix a class of reference geometries $(M, g_0(\beta))$, with parameters β .
- Suppose there already exists a characterization of this class by the existence of tensor fields σ satisfying equations

$$S_{\mathcal{A}}[g,\sigma]=0,$$

covariantly constructed from σ , g_{ij} , R_{ijkl} and their covariant derivatives.

Exploiting the geometry of (*M*, g₀(λ)), we try to find formulas for σ = Σ[g₀] covariantly constructed from g_{ij}, R_{ijkl} and their covariant derivatives. If successful, we get an IDEAL characterization of this class by

$$T_{\mathcal{A}}[g] := S_{\mathcal{A}}[g, \Sigma[g]] = 0.$$

If necessary, find further covariant expressions for the parameters β = B[g₀], adding equations B[g] − β = 0 to the above list, until we can IDEALly characterize individual isometry classes.

Igor Khavkine (CAS, Prague)

FLRW and Inflationary Spacetimes

Let dim M = m + 1.

- ► (*M*, *g*) is (locally) **FLRW** when around every point of *M* there exist local coordinates (*t*, *x*₁,..., *x_m*), such that
 - (a) $g_{ij}(t, x_1, \ldots, x_m) = -(dt)_{ij}^2 + f^2(t)h_{ij}(x_1, \ldots, x_m)$ (warped product),
 - (b) h_{ij} is of constant curvature (homogeneous and isotropic), e.g.

$$h_{ij} = \frac{1}{(1-\alpha r^2)} (dr)_{ij}^2 + r^2 d\Omega_{ij}^2$$
, with $\mathcal{R}[h] = m(m-1)\alpha$.

► (M, g, φ) is (locally) inflationary when it is locally FLRW and the local coordinates (t, x₁,..., x_m) can be chosen so that the scalar φ = φ(t), while also satisfying the Einstein-Klein-Gordon equations

$$m{R}_{ij} - rac{1}{2} \mathcal{R} m{g}_{ij} = \kappa \left(
abla_i \phi
abla_j \phi - rac{1}{2} m{g}_{ij} [(
abla \phi)^2 + m{V}(\phi)]
ight)$$

with some potential $V(\phi)$ and $\kappa \sim$ Newton's constant.

Tensors for Warped (m + 1)-dim Products

A Generalized Robertson Walker (**GRW**) (m + 1)-dim geometry is like FLRW, but with no conditions on spatial slices.

Theorem (Sánchez, 1998)

 $(M,g) \text{ is locally GRW iff } \exists U - \text{ unit timelike vector field satisfying} \\ \mathfrak{P}_{jk} := U_{[j} \nabla_{k]} \frac{\nabla^i U_i}{m} = 0, \quad \mathfrak{D}_{ij} := \nabla_i U_j - \frac{\nabla_k U^k}{m} (g_{ij} + U_i U_j) = 0.$

In coordinates, $U^i = (\partial_t)^i$, for instance $U = \nabla \phi / \sqrt{-(\nabla \phi)^2}$.

GRW **pre-history**: in 4-dim cosmology, Sánchez's conditions were know much earlier (Ehlers, 1961; Easley, 1991). They were stated as follows: *U is unit, geodesic, shear-free, twist-free and has spatially-constant expansion*.

(Ellis & Bruni, 1989): used linearizations $\dot{\mathfrak{P}}[h]$ and $\dot{\mathfrak{D}}[h]$ to build linearized observables in cosmology

Tensors for Constant Spatial Curvature

Convenient to define the Kulkarni-Nomizu product:

$$(A \odot B)_{ijkh} = A_{ik}B_{jh} - A_{jk}B_{ih} - A_{ih}B_{jk} + A_{jh}B_{ik}.$$

- Given Sánchez's U^i , define $\xi := \frac{\nabla' U_i}{m}$, $\eta := -U^i \nabla_i \xi$. Eventually, *U* is one of normalized $\nabla \mathcal{R}$, $\nabla (\mathcal{B} := R_{ij} R^{ij})$ or $\nabla \phi$.
- GRW Spatial Zero Curvature Deviation (ZCD) tensor:

$$\mathfrak{Z}_{ijkh} := \mathcal{R}_{ijkh} - \left(g \odot \left[\frac{\xi^2}{2} g - \eta U U \right] \right)_{ijkh}, \quad \zeta := \frac{\mathfrak{Z}_i^{i} k^k}{m(m-1)}.$$

GRW Spatial Constant Curvature Deviation (CCD) tensor:

$$\mathfrak{C}_{ijkh} := R_{ijkh} - \left(g \odot \left[rac{(\xi^2 + \zeta)}{2}g - (\eta - \zeta)UU
ight]
ight)_{ijkh}$$

► $\mathfrak{Z}_{ijkh} = 0 \implies$ flat FLRW. $\mathfrak{C}_{ijkh} = 0, \ U_{[i} \nabla_{j]} \zeta = 0 \implies$ generic FLRW (any curvature).

Inflationary FLRW Scale Factor

- Scale factor derivatives: $\xi = \frac{f'}{f}, \quad \eta = \frac{f''}{f}, \quad \zeta = \frac{\alpha}{f^2}.$
- Einstein-Klein-Gordon equations reduce to

$$\xi^{2}+\zeta=\kappa\frac{\phi^{\prime 2}+V(\phi)}{m(m-1)},\quad \eta-\zeta=-\kappa\frac{\phi^{\prime 2}}{(m-1)}.$$

Flat inflationary with $\zeta = 0$, $\phi' \neq 0$: can find $\Xi(u)$ such that

("Hamilton-Jacobi" eq. *á la*
Salopek & Bond, 1990)
$$(\partial_u \Xi(u))^2 - \kappa \frac{m \Xi^2(u)}{(m-1)} + \kappa^2 \frac{V(u)}{(m-1)^2} = 0,$$
$$\phi' = -\frac{(m-1)}{\kappa} \partial_\phi \Xi(\phi), \quad \xi = \Xi(\phi).$$

► Generic inflationary with $\phi' \neq 0$: can find $\equiv(u)$, $\Pi(u)$ such that

(new?)

$$\Pi\left(\partial_{u}\Xi + \kappa \frac{\Pi}{(m-1)}\right) - \left(\kappa \frac{\Pi^{2} + V}{m(m-1)} - \Xi^{2}\right) = 0,$$

$$\partial_{u}\left(\kappa \frac{\Pi^{2} + V}{m(m-1)} - \Xi^{2}\right) + 2\frac{\Xi}{\Pi}\left(\kappa \frac{\Pi^{2} + V}{m(m-1)} - \Xi^{2}\right) = 0,$$

$$\phi' = \Pi(\phi), \quad \xi = \Xi(\phi).$$

• ODEs in (f, ϕ) fix scale factor and inflaton up to $(f(t), \phi(t)) \mapsto (Af(t + t_0), \phi(t + t_0))$, exhausting isometric $(f(t), \phi(t))$ pairs.

Igor Khavkine (CAS, Prague)

Flowchart: Inflationary Characterization



Igor Khavkine (CAS, Prague)

Discussion

- An IDEAL characterization of the (local) isometry class of a physically interesting spacetime is a **natural geometric problem** with applications to linear observables and classification of exact solutions in gravity.
- Some cosmological, black hole and now also pp-wave spacetimes have IDEAL characterizations.
- The Cartan-Karlhede moving frame method is an older and more developed method of invariant characterization of geometries. Does an IDEAL characterization exist whenever a Cartan-Karlhede characterization exists? (No-ish.)
- Next steps:
 - Bianchi (homogeneous) cosmologies?
 - Kasner singular solutions?
 - Higher dimensional rotating Myers-Perry black holes?

Discussion

- An IDEAL characterization of the (local) isometry class of a physically interesting spacetime is a **natural geometric problem** with applications to linear observables and classification of exact solutions in gravity.
- Some cosmological, black hole and now also pp-wave spacetimes have IDEAL characterizations.
- The Cartan-Karlhede moving frame method is an older and more developed method of invariant characterization of geometries. Does an IDEAL characterization exist whenever a Cartan-Karlhede characterization exists? (No-ish.)
- Next steps:
 - Bianchi (homogeneous) cosmologies?
 - Kasner singular solutions?
 - Higher dimensional rotating Myers-Perry black holes?

Thank you for your attention!