

# The GNS-construction and undetectable non-equilibrium states

Based on ongoing work with Souvik Banerjee

2311.xxxxx

# Reasonable measurements in complex systems

The tools of this talk: algebras of observables

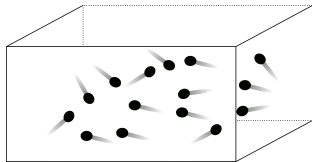
What can we realistically measure in a complex quantum system?

## Simple observables:

- One particle positions/momenta
- Positions/momenta of several particles

## Complicated observables:

- The positions of all particles simultaneously
- The Hamiltonian(!)



Example: gas in a box  $|\Psi\rangle = |\psi_1\rangle \dots |\psi_N\rangle$

# Undetectable excitations

## the goal of this talk:

From the perspective of simple observables:

$$|E\rangle \approx |\tilde{E}\rangle = e^{-\frac{\beta}{2}\hat{H}} U e^{\frac{\beta}{2}\hat{H}} |E\rangle \quad (1)$$


Where:

- $|H\rangle$  is a typical state of a canonical ensemble with inverse temperature  $\beta$
- $U$  is unitary and  $[U, \hat{H}] \neq 0$

Under the assumption of approximate thermal physics<sup>1</sup>:

$$\begin{aligned} & \langle \tilde{E} | O \dots O | \tilde{E} \rangle \\ &= \text{Tr} \left( e^{-\beta \hat{H}} e^{\frac{\beta}{2} \hat{H}} U^\dagger e^{-\frac{\beta}{2} \hat{H}} O \dots O e^{-\frac{\beta}{2} \hat{H}} U e^{\frac{\beta}{2} \hat{H}} \right) + \mathcal{O}(e^{-S/2}) \\ &= \text{Tr} \left( e^{-\beta \hat{H}} O \dots O \right) + \mathcal{O}(e^{-S/2}) \end{aligned} \quad (2)$$

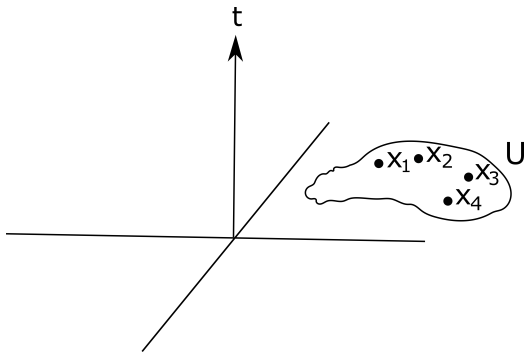
We will derive this from first principles in conformal field theories.

<sup>1</sup>As originally derived in 1708.06328 by K. Papadodimas 

# Simple, but powerful!

## Theorem

**Reeh-Schlieder theorem:** *The set of vectors  $\{O_1(x_1)\dots O_n(x_n)|0\rangle\}$  generated by local fields  $O_i(x_i)$  where  $x_i$  are restricted to an open set  $U$  is dense in the Hilbert space  $\mathcal{H}$ .*



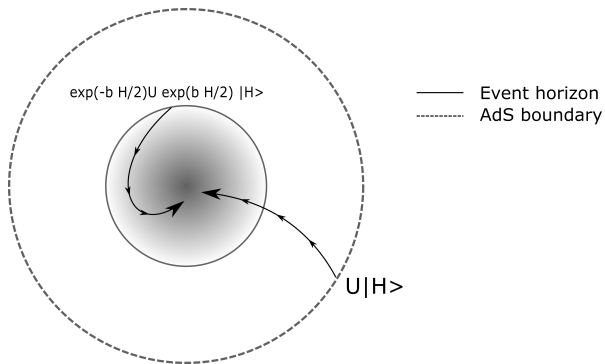
Simple observables have a lot of resolution power!

# Application

## Application: Holographic models

In holographic CFTs with bulk gravitational duals:

$|H\rangle \longleftrightarrow$  black hole microstates!



# GNS-construction

**Starting point:** a  $*$ -algebra of observables

$$\text{Span} \left( O_1, \dots, O_n, O_1 O_2, \dots, O_4^8 O_3 O_8^2, \dots, O_1^\dagger, \dots, O_n^\dagger \right)$$

Closed under a particular norm  $\rightarrow$  von Neumann algebra

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**Construct an auxiliary GNS-Hilbert space<sup>2</sup>:**

$$O_k \rightarrow |O_k\rangle\rangle$$

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<sup>2</sup>GNS: Gelfand, Naimark (1943), Segal (1947) 

# GNS-linear form

**Tool for the job:** GNS-linear form  $\rightarrow \omega(O) = \#$



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 $\forall B, \omega(A^\dagger B) = 0 \implies A = 0$

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**Example:** Density matrices  $\rho$

$$\omega(O) = \text{Tr}(\rho O)$$

# Algebra representations

Two inequivalent representations of the operator algebra on the GNS-Hilbert space

$$\pi(O_1)|O_2\rangle\rangle = |O_1 O_2\rangle\rangle$$

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These representations commute

$$\begin{aligned}\pi(O_1)\pi_r(O_2)|O_3\rangle\rangle &= \pi(O_1)|O_3 O_2^\dagger\rangle\rangle = |O_1 O_3 O_2^\dagger\rangle\rangle \\ &= \pi_r(O_2)|O_1 O_3\rangle\rangle = \pi_r(O_2)\pi(O_1)|O_3\rangle\rangle\end{aligned}$$

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$$|K_0\rangle\rangle \rightarrow \omega(O) = \langle\langle K_0|\pi(O)|K_0\rangle\rangle$$

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### Construct the GNS-vacuum state

$$|K_0\rangle\rangle \rightarrow \omega(O) = \langle\langle K_0|\pi(O)|K_0\rangle\rangle$$

**The original motivation:** thermal expectation values become vacuum expectation values

$$\mathrm{Tr}\left(e^{-\beta H} O_1 \dots O_n\right) = \langle\langle \pi(O_1) \dots \pi(O_n) \rangle\rangle$$

## Part II

### **Part II:** Tomita-Takesaki theory and undetectable excitations



# Undetectable excitations

**Reminder:** The goal: prove that

$$|E\rangle \approx |\tilde{E}\rangle = e^{-\frac{\beta}{2}\hat{H}} U e^{\frac{\beta}{2}\hat{H}} |E\rangle$$

Context:

- In 2d conformal field theory (spacetime symmetry: 2 copies of the infinite dimensional Virasoro algebra)

$$[L_n, L_m] = (m - n)L_{n+m} + \frac{c}{12}n(n^2 - 1)\delta_{0, n+m}$$

- Large number of local degrees of freedom (technical terms: large central charge  $c$ )
- High-energy:  $\langle E | \hat{H} | E \rangle = \mathcal{O}(c)$
- 'light' observables:  $\langle 0 | O_1 \dots O_n | 0 \rangle \ll c$

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Take as GNS-linear form the Euclidean CFT correlator

$$\omega(O) = \langle E|O|E\rangle$$

# Modular Hamiltonian

$|E\rangle$  is an energy eigenstate  $\rightarrow$  time-translation invariant

$\implies$  one-dimensional automorphism symmetry group  
parametrized by  $s$

$$\pi(O) \rightarrow p_s \pi(O) = e^{i\mathcal{K}s} \pi(O) e^{-i\mathcal{K}s}$$

$$\langle\langle e^{i\mathcal{K}s} \pi(O) e^{-i\mathcal{K}s} \rangle\rangle = \langle\langle \pi(O) \rangle\rangle$$

**Modular Hamiltonian:**  $\mathcal{K}$ , generator of the automorphism group

- $\mathcal{K}$  is Hermitian
- $\mathcal{K}$  annihilates the GNS-vacuum:  $\mathcal{K}|K_0\rangle\rangle = 0$
- In terms of the system Hamiltonian:  $\mathcal{K} = \pi(\hat{H}) - \pi_r(\hat{H})$

## KMS-condition

**Special property:** Through standard CFT techniques  $\rightarrow \mathcal{K}$  satisfies the KMS-condition<sup>3</sup>

$$\langle\langle \pi(O_1) e^{\beta\mathcal{K}} \pi(O_2) e^{-\beta\mathcal{K}} \rangle\rangle = \langle\langle \pi(O_2) \pi(O_1) \rangle\rangle$$


where

$$\beta = \frac{1}{2\pi} \sqrt{1 - 24 \frac{E}{c}}$$

**KMS-condition is a combined:**

- periodicity condition
- order-reversing property

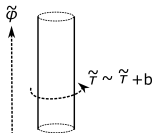
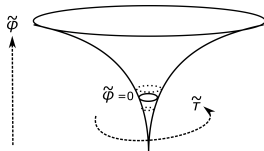
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<sup>3</sup>Kubo (1957), Martin, Schwinger (1959) 

# CFT sketch

## Let's sketch the CFT computation:

- State-operator map  
 $\rightarrow \langle E | O_1 \dots O_n | E \rangle = \langle O_H(0) O_H(\infty) O_1 \dots O_n \rangle$
- Heavy-Light decoupling  
 $\langle O_H(z_1) O_H(z_2) O_1(x_1) \dots O_n(x_n) \rangle \approx f(x_i, h_i | z_i, H) \langle O_H(z_1) O_H(z_2) \rangle$



- Light sector  $\rightarrow$  'couples' to the heavy stress tensor expectation value  $T(z) = \langle T(z) O_H(z_1) O_H(z_2) \rangle$

- $T(z)$  transforms inhomogeneously  $T' = w'(z)^{-2} (T - \frac{c}{12} S[w, z])$  where  

$$S[w, z] = \frac{w'''}{w'} - \frac{3}{2} \left( \frac{w''}{w'} \right)^2$$

# Operator ordering

## Operator switching

Lorentzian expectation in Heisenberg frame:

$$\langle E | O_n e^{-iH(t_n - t_{n-1})} O_{n-1} \dots e^{-iH(t_2 - t_1)} O_1 | E \rangle$$

## Edge-of-the-Wedge theorem

- $\rightarrow$  complexify time-differences  
 $\tau_k = t_k - t_{k-1}$
- analytic continuation  $\rightarrow$
- Expectation value holomorphic in  $\tau_k$  on lower half-plane!.

$\rightarrow$  Recipe for operator ordering:

- set real part of times equal to zero
- set imaginary parts of times so differences are in the lower half-plane
- evaluate resulting Euclidean correlator
- set imaginary parts to zero, set real parts back to their original value

**Consequence:** Ordering is determined by universal cover of Euclidean time!

(Also) moving to Japan!

Given a Tomita-Takesaki operator  $S$  such that

$$|SOK_0\rangle\rangle = |O^\dagger K_0\rangle\rangle$$

$S$  has a unique polar decomposition

$$S = J\Delta^{1/2}$$

where

- $J$  is anti-unitary<sup>4</sup>
- $J^2 = \mathbb{I}$
- $\Delta$  is Hermitian
- $|JOk_0\rangle\rangle = |K_0 O^\dagger\rangle\rangle$

## Theorem

**Tomita-Takesaki theorem:** *There is a 1-parameter automorphism group acting on  $\pi(O)$  given by  $\Delta^{is}\pi(O)\Delta^{-is}$  which satisfies the KMS-condition.*

<sup>4</sup> $\langle\langle O_1 J | JO_2 \rangle\rangle = \langle\langle O_2 | O_1 \rangle\rangle$

# Automorphism uniqueness theorem

## Theorem

**Automorphism uniqueness theorem:** *If an automorphism group  $p_s \pi(O) = e^{i\mathcal{K}s} \pi(O) e^{-i\mathcal{K}s}$  satisfies the KMS-condition then  $e^{\beta\mathcal{K}}$  has to coincide with  $\Delta$ .*

Note that  $\Delta^{1/2} = JS$  hence

$$|\Delta^{1/2} O K_0\rangle\rangle = |K_0 O\rangle\rangle$$

Since  $e^{\frac{\beta}{2}\mathcal{K}} = \Delta^{1/2}$  we find at the operator level

$$e^{-\frac{\beta}{2}\mathcal{K}} \pi(O) e^{\frac{\beta}{2}\mathcal{K}} |K_0\rangle\rangle = \pi_r(O^\dagger) |K_0\rangle\rangle$$



# Putting it all together

## Putting it all together:

remembering that  $\mathcal{K} = \pi(\hat{H}) - \pi_r(\hat{H})$  we find that

$$\langle E | e^{\frac{\beta}{2} \hat{H}} U^\dagger e^{-\frac{\beta}{2} \hat{H}} O_1 \dots O_n e^{-\frac{\beta}{2} \hat{H}} U e^{\frac{\beta}{2} \hat{H}} | E \rangle$$

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## conclusion

- One can derive a lot of physics from considering algebras of observables
- Under specific conditions we identified the KMS-condition in CFT correlators
- Without assuming typicality of a thermal ensemble we derived the indistinguishability of  $|E\rangle$  and  $|\tilde{E}\rangle$

Thank you for your attention